



JC06 Rec'd PPTO 26 SEP 2005

#4

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

re application of  
James L. Hubbard

Date: September 21, 2005

Examiner: Not Yet Assigned

Appln. No.: 10/523,608

Confirmation No. 4251

Filed: October 15, 2002

Docket No.: OT-4913

Title: DETECTING ELEVATOR BRAKE AND OTHER DRAGGING BY  
MONITORING MOTOR CURRENT

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

I hereby certify this correspondence is being  
deposited with the United States Postal Service  
as first class mail in an envelope addressed to:  
Commissioner for Patents  
Alexandria, VA 22313-1450 on

*[Signature]*  
9-22-05

Signature  
Date

RESPONSE TO NOTIFICATION OF MISSING REQUIREMENTS

Applicant is in receipt of a Notification of Missing Requirements under 35 USC §371 (copy enclosed), indicating that an oath or declaration of the inventors and a surcharge must be filed. Enclosed please find a Declaration executed by each inventor and a copy of the Notice.

Applicant notes that it has not filed an "Indication of Small Entity Status" and do not claim such status. Applicant also enclosed a copy of Notification of the Recording of a Change (PCT/IB/306) for your records.

Please charge the surcharge of \$130.00 to our credit card, (credit card form enclosed). Applicant has requested for a one-month extension of time for filing this response (requested form attached).

~~10/03/2005 MKAYPAGH 00000049 10523608~~

~~01 FC:1617~~

~~-130.00 OP~~

Respectfully submitted,

Otis Elevator Company  
Ten Farm Springs  
Farmington, CT 06032  
(860) 676-5041

By *[Signature]*  
Thomas H. Osborn  
Registration No. 40,752

~~02/10/2006 BCAMPBEL 00000002 10523608~~

~~02/10/2006 BCAMPBEL 00000003 10523608~~

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~~01 FC:1617~~

~~130.00 OP~~

Adjustment date: 02/10/2006 BCAMPBEL

10/03/2005 MKAYPAGH 00000049 10523608

01 FC:1617

~~-130.00 OP~~

Void date: 02/10/2006 BCAMPBEL

02/10/2006 BCAMPBEL 00000001 10523608

01 FC:2641

02 FC:2643

~~-50.00 OP~~

~~-50.00 OP~~



## UNITED STATES PATENT AND TRADEMARK OFFICE

**Rec'd PCT/PTO 26 SEP 2005**

 UNITED STATES DEPARTMENT OF COMMERCE  
 United States Patent and Trademark Office  
 Address: COMMISSIONER FOR PATENTS  
 P.O. Box 1450  
 Alexandria, Virginia 22313-1450  
 www.uspto.gov

|                             |                       |                  |
|-----------------------------|-----------------------|------------------|
| U.S. APPLICATION NUMBER NO. | FIRST NAMED APPLICANT | ATTY. DOCKET NO. |
| 10/523,608                  | James L Hubbard       | OT-4913          |

 Thomas H. Osborn  
 Otis Elevator Company  
 Ten Farm Springs  
 Farmington, CT 06032


|                               |               |
|-------------------------------|---------------|
| INTERNATIONAL APPLICATION NO. |               |
| PCT/US02/32896                |               |
| I.A. FILING DATE              | PRIORITY DATE |
| 10/15/2002                    |               |

 CONFIRMATION NO. 4251  
 371 FORMALITIES LETTER  

 \*OC00000016542025\*

Date Mailed: 07/18/2005

**NOTIFICATION OF MISSING REQUIREMENTS UNDER 35 U.S.C. 371 IN THE UNITED STATES DESIGNATED/ELECTED OFFICE (DO/EO/US)**

The following items have been submitted by the applicant or the IB to the United States Patent and Trademark Office as a Designated / Elected Office (37 CFR 1.495).

- Indication of Small Entity Status
- Copy of the International Application filed on 02/04/2005
- Copy of the International Search Report filed on 02/04/2005
- Copy of IPE Report filed on 02/04/2005
- Small Entity Statement filed on 02/04/2005
- U.S. Basic National Fees filed on 02/04/2005
- Assignment filed on 02/04/2005

 OTIS IP DEPT.  
 DOCKETED 7-21-05  
 ACTION *Excess Priority Req.*  
 DUE DATE 9-18-05  
 ATTY *TH*

 The following items **MUST** be furnished within the period set forth below in order to complete the requirements for acceptance under 35 U.S.C. 371:

- Oath or declaration of the inventors, in compliance with 37 CFR 1.497(a) and (b), identifying the application by the International application number and international filing date.
- \$65 Surcharge for providing the oath or declaration later than 30 months from the priority date (37 CFR 1.492(e)) is required.

## SUMMARY OF FEES DUE:

Total additional fees required for this application is \$65 for a Small Entity:

- \$65 Late oath or declaration Surcharge.

**ALL OF THE ITEMS SET FORTH ABOVE MUST BE SUBMITTED WITHIN TWO (2) MONTHS FROM THE DATE OF THIS NOTICE OR BY 32 MONTHS FROM THE PRIORITY DATE FOR THE APPLICATION, WHICHEVER IS LATER. FAILURE TO PROPERLY RESPOND WILL RESULT IN ABANDONMENT.**

The time period set above may be extended by filing a petition and fee for extension of time under the provisions of 37 CFR 1.136(a).

Applicant is reminded that any communications to the United States Patent and Trademark Office must be mailed to the address given in the heading and include the U.S. application no. shown above (37 CFR 1.5)

*A copy of this notice **MUST** be returned with the response.*

BARBARA A CAMPBELL

Telephone: (703) 308-9140 EXT 217

PART 1 - ATTORNEY/APPLICANT COPY

| U.S. APPLICATION NUMBER NO. | INTERNATIONAL APPLICATION NO. | ATTY. DOCKET NO. |
|-----------------------------|-------------------------------|------------------|
| 10/523,608                  | PCT/US02/32896                | OT-4913          |

FORM PCT/DO/EO/905 (371 Formalities Notice)